# UNIVERSITY OF KERALA

# Annual Self-Assessment for the Performance Based Appraisal System (PBAS)

# Session/Year .....

(To be completed and submitted at the end of each academic year)

# PART A: GENERAL INFORMATION

| 1 | Name (In Block Letters  | : |  |  |  |  |  |
|---|---|---|--|--|--|--|--|
| 2 | Father's Name/Mother's Name/<br>Husband's Name                                      | : |  |  |  |  |  |
| 3 | Department  | : |  |  |  |  |  |
| 4 | Current Designation & Grade Pay   | : |  |  |  |  |  |
| 5 | Date of last Promotion  | : |  |  |  |  |  |
| 6 | Address for correspondence (with Pincode)   | : |  |  |  |  |  |
| 7 | Permanent Address (with Pincode)  | : |  |  |  |  |  |
|   | Telephone No.   | : |  |  |  |  |  |
|   | Email   | : |  |  |  |  |  |
| 8 | Whether acquired any degrees or<br>fresh academic qualifications<br>during the year | : |  |  |  |  |  |
| 9 | Academic Staff College Orientation/Refresher Course attended during the year:       |   |  |  |  |  |  |

| Name of the Course/<br>Summer School | Place | Duration | Sponsoring Agency |
|--------------------------------------|-------|----------|-------------------|
|                                      |       |          |                   |
|                                      |       |          |                   |
|                                      |       |          |                   |

# **PART B : ACADEMIC PERFORMANCE INDICATORS**

(Please see detailed instruction of this PBAS proforma before filling out this section)

### **CATEGORY: 1. TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES**

# (i) Lectures, Seminars, Tutorials, Practicals, Contact Hours (give Semesterwise details, where necessary)

| S.No. | Course/Paper | Level | Mode of<br>teaching* | No. of<br>classes<br>per week<br>allotted | No. of<br>classes<br>conducted | % of Classes/<br>Practicals<br>taken as per<br>documented<br>record |
|-------|--------------|-------|----------------------|---|--------------------------------|---|
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |

\*Lecture (L), Seminar (S), Tutorial (T), Practical (P), Contact Hours (C)

|     |  | API Score       |
|-----|--|-----------------|
| (a) | Classes taken (max. 50 for 100% performance & proportionate score upto 80% performance, below which no score may be given) |                 |
| (b) | Teaching Load in excess of UGC norm (Max. : 10)  |                 |
|     |  | Max. Score : 60 |

# (ii) Reading/Instructional material consulted and additional knowledge resources provided to students

| S.No. | Course/Paper                                      | Consulted       | Prescribed      | Additional<br>Resource<br>provided |
|-------|---|-----------------|-----------------|------------------------------------|
|       |   |                 |                 |                                    |
|       |   |                 |                 |                                    |
|       |   |                 |                 |                                    |
|       |   |                 |                 |                                    |
|       | ore based on Preparati<br>ction as per curriculum | API Score       |                 |                                    |
|       | onal resources to Stude                           |                 | in by providing |                                    |
|       |   | Max. Score : 20 |                 |                                    |

# (iii) Use of Participatory and Innovative Teaching-Learning Methodologies, Updating of Subject Content, Course improvement etc.

| S.No. | Short Description      | API Score |
|-------|------------------------|-----------|
|       |                        |           |
|       |                        |           |
|       | Total Score (Max.: 20) |           |

#### (iv) Examination Duties assigned and Performed

| S.No. | Type of<br>Examination Duties | Duties Assigned | Extent to which<br>carried out (%) | API Score |
|-------|-------------------------------|-----------------|------------------------------------|-----------|
|       |                               |                 |                                    |           |
|       |                               |                 |                                    |           |
|       |                               |                 |                                    |           |
|       |                               |                 |                                    |           |
|       |                               |                 |                                    |           |

#### Minimum: 75 Maximum: 125

### CATEGORY: II. CO-CURRICULAR, EXTENSION, PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES

Please mention your contribution to any of the following :

| S.No. | Type of Activity  | Average Hrs./Week                                   | API Score |
|-------|---|---|-----------|
|       | <ul> <li>Extension, Co-curricular &amp;<br/>field based activities</li> </ul> |   |           |
|       |   |   |           |
|       |   | Total(Max. : 20)                                    |           |
|       | ii. Contribution to Corporate Life<br>and Management of the<br>Institution    | Yearly/Semester wise<br>responsibilities            | API Score |
|       |   |   |           |
|       |   | Total (Max. : 15)                                   |           |
|       | iii. Professional Development<br>Activities                                   |   |           |
|       |   |   |           |
|       |   | Total (Max : 15)                                    |           |
|       |   | Total (i + ii + iii)<br>Minimum : 15, Maximum : 50) |           |

# CATEGORY: III. RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS

# A. Published Papers in Journals

| S.<br>No. | Title with page<br>Nos. | Journal | ISSN/<br>ISBN No. | Whether<br>peer<br>reviewed.<br>Impact<br>Factor, if<br>any | No. of<br>Co-<br>authors | Whether<br>you are the<br>main<br>author | API<br>Score |
|-----------|-------------------------|---------|-------------------|---|--------------------------|--|--------------|
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |

# B. (i) Articles/Chapters published in Books

| S.<br>No. | Title with page<br>Nos. | Book<br>Title,<br>Editor &<br>Publisher | ISSN/<br>ISBN No. | Whether<br>peer<br>reviewed. | No. of<br>Co-<br>authors | Whether<br>you are the<br>main<br>author | API<br>Score |
|-----------|-------------------------|---|-------------------|------------------------------|--------------------------|--|--------------|
|           |                         |   |                   |                              |                          |  |              |
|           |                         |   |                   |                              |                          |  |              |
|           |                         |   |                   |                              |                          |  |              |
|           |                         |   |                   |                              |                          |  |              |

# (ii) Full Papers in Conference Proceedings

| S.<br>No. | Title with page<br>Nos. | Details of<br>Conference<br>Publication | ISSN/<br>ISBN No. | No. of<br>Co-<br>authors | Whether<br>you are the<br>main<br>author | API<br>Score |
|-----------|-------------------------|---|-------------------|--------------------------|--|--------------|
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |

# (iii) Books Published as single Author or as Editor

| S.<br>No. | Title with page<br>Nos. | Type of<br>Book &<br>Author-<br>ship | Publisher<br>& ISSN/<br>ISBN No. | Whether<br>peer<br>reviewed. | No. of<br>Co-<br>authors | Whether<br>you are the<br>main<br>author | API<br>Score |
|-----------|-------------------------|--------------------------------------|----------------------------------|------------------------------|--------------------------|--|--------------|
|           |                         |                                      |                                  |                              |                          |  |              |
|           |                         |                                      |                                  |                              |                          |  |              |
|           |                         |                                      |                                  |                              |                          |  |              |
|           |                         |                                      |                                  |                              |                          |  |              |
|           |                         |                                      |                                  |                              |                          |  |              |
|           |                         |                                      |                                  |                              |                          |  |              |

# III. C. Ongoing and Completed Research Projects and Consultancies

# (c) (i & ii) Ongoing Projects/Consultancies

| S.No. | Title | Agency | Period | Grant/<br>Amount<br>Mobilized<br>(Rs.Lakh) | API<br>Score |
|-------|-------|--------|--------|--|--------------|
|       |       |        |        |  |              |
|       |       |        |        |  |              |
|       |       |        |        |  |              |

# (c) (iii & iv) Completed Projects/Consultancies

| S.No. | Title | Agency | Period | Grant/<br>Amount<br>Mobilized<br>(Rs.Lakh) | Whether<br>policy<br>document/<br>patent as<br>outcome | API<br>Score |
|-------|-------|--------|--------|--|--|--------------|
|       |       |        |        |  |  |              |
|       |       |        |        |  |  |              |
|       |       |        |        |  |  |              |

#### D. Research Guidance

| S.No.                      | Number Enrolled | Thesis Submitted | Degree<br>awarded | API Score |
|----------------------------|-----------------|------------------|-------------------|-----------|
| i. M.Phil or<br>equivalent |                 |                  |                   |           |
| ii. Ph.D or<br>equivalent  |                 |                  |                   |           |

#### E. (i) Training Courses, Teaching-Learning-Evaluation Technology Programmes, faculty development Programmes (not less than one week duration)

| S.No. | Programme | Duration | Organised by | API Score |
|-------|-----------|----------|--------------|-----------|
|       |           |          |              |           |
|       |           |          |              |           |
|       |           |          |              |           |

#### E. (ii) Papers presented in Conferences, Seminars, Workshops, Symposia

| S.No | Title of the<br>paper presented | Title of<br>Conference/<br>Seminar | Organized<br>by | Whether<br>International/<br>National/State/<br>Regional/College<br>or University<br>level | API<br>Score |
|------|---------------------------------|------------------------------------|-----------------|--|--------------|
|      |                                 |                                    |                 |  |              |
|      |                                 |                                    |                 |  |              |
|      |                                 |                                    |                 |  |              |

# E. (iii) Invited Lectures and Chairmanships at National or International Conference/Seminar etc.

| S.No | Title of the<br>Lecture/<br>Academic<br>Session | Title of<br>Conference/<br>Seminar | Organized<br>by | Whether<br>International/<br>National | API<br>Score |
|------|---|------------------------------------|-----------------|---------------------------------------|--------------|
|      |   |                                    |                 |                                       |              |
|      |   |                                    |                 |                                       |              |
|      |   |                                    |                 |                                       |              |

#### **IV. SUMMAY OF API SCORES**

|     | Criteria           | Last<br>Academic<br>Year | Total-API<br>Score for<br>Assessment<br>Period | Annual Av. API<br>Score for<br>Assessment<br>Period |
|-----|--------------------|--------------------------|--|---|
| _   | Teaching, Learning |                          |  |   |
| I   | and evaluation     |                          |  |   |
|     | related activities |                          |  |   |
|     | Co-curricular,     |                          |  |   |
|     | Extension,         |                          |  |   |
| II  | Professional       |                          |  |   |
|     | development etc.   |                          |  |   |
|     |                    | Total I + II             |  |   |
|     | Research and       |                          |  |   |
| III | Academic           |                          |  |   |
|     | Contribution       |                          |  |   |

# PART C : OTHER RELEVANT INFORMATION

Please give details of any other credential, significant contributions, awards received etc., not mentioned earlier.

| S.No. Details (Mention Year, value etc. where relevant) |  |  |  |
|---|--|--|--|
|   |  |  |  |
|   |  |  |  |
|   |  |  |  |
|   |  |  |  |

#### LIST OF ENCLOSURES : (Please attach, copies of certificates, sanction orders, papers etc., wherever necessary)

| 1. | 6.  |  |
|----|-----|--|
| 2. | 7.  |  |
| 3. | 8.  |  |
| 4. | 9.  |  |
| 5. | 10. |  |

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with the duly filled PBAS Proforma.

Signature of the Faculty with Designation, Place & Date

Signature of HOD/ School Chairperson/ Principal

NB : The Annual Self-Assessment proforma duly filled along with all enclosures, submitted for CAS promotions will be verified by the University/College and information filed with the IQAC.

## Instructions for Filling up Part B of the PBAS Proforma

Part B of the Proforma is based on Appendix III, Table 1 of the UGC Regulations 2010. It is to be filled out for the recently completed academic year.

The Proforma is to be filled as per these tables and self-assessment scores given. For each category, maximum scores that can be given or carried forward is indicated in the Table.

The self-assessment scores are further to be based on the indicators/activities given below. Universities may modify the detailed indicators and related scores based on their experience and requirement without changing the score requirements assigned to categories and sub-categories in Appendix III, Table 1.

NB : The self-assessment scores are subject to verification by the University/College, and by the Screening cum Verification Committee or Selection Committee as the case may be.

### I. Teaching and Evaluation Related Performance

#### (i) a.

| Lectures/Practicals/Tutorials/Contact classes taken should   | Maximum  |
|--|----------|
| be based on verifiable records.                              | Score 50 |
| No score should be assigned if a teacher has taken less than |          |
| (say) 80% assigned classes. Universities may give allowance  |          |
| for periods of leave where alternative teaching arrangements |          |
| would ordinarily be made.                                    |          |
| Maximum score if there is 100% achievement.                  |          |

b.

If teacher has taken classes exceeding UGC norm, then twoMaximumpoint to be assigned for each extra hour of classesScore 10

(ii)

Imparting of knowledge/instruction *vis a vis* with the prescribed material (Text book/Manual etc.) and methodology of the curriculum (100% compliance = 20 points)

#### (iii) Use of Participatory and Innovative Teaching-Learning Methodologies, Updating of Subject Content, Course Improvement etc.

| Indicators/Activities  | Maximum  |
|--|----------|
|  | Score 20 |
| Updating of courses, design of curriculum, (5-single course)   | 10       |
| Preparation of resource material, fresh reading materials,   | 10       |
| Laboratory manuals etc.  |          |
| Use of Innovative teaching-learning methodologies; use of ICT; Updated subject content and course improvement.   | 10       |
| <ul> <li>a. ICT Based teaching material : 10 points/each</li> <li>b. Interactive Courses : 5 points/each</li> <li>c. Participatory Learning modules : 5 points/each</li> </ul> |          |

| Developing and imparting Remedial/Bridge Courses and         | 10 |
|--|----|
| Counselling modules(Each activity : 5 points)                |    |
| Developing and imparting soft skills/communication           | 10 |
| skills/personality development courses/modules (Each         |    |
| activity : 5 points)   |    |
| Developing and imparting specialized teaching-learning       | 10 |
| programmes in physical education, library; innovative        |    |
| compositions and creations in music, performing and visual   |    |
| arts and other traditional areas (Each activity : 5 points)  |    |
| Organizing and conduction of popularization                  | 10 |
| programmes/training courses in computer assisted             |    |
| teaching/web-based learning and e-library skills to students |    |
|  |    |
| a. Workshop/Training course : 10 points each                 |    |
| b. Popularization Program : 5 points each                    |    |
|  |    |
| Maximum Aggregate Limit                                      | 20 |

#### (iv) Examination Related Work

| Maximum Aggregate Limit B (iv)  | 25               |
|---|------------------|
| Examination work such as co-ordination, or flying squad<br>duties etc. (maximum of 5 or10 depending upon Intensity of<br>duty) (100% compliance = 10 points)  |                  |
| College/University examination/Evaluation responsibilities<br>for internal/continuous assessment work as allotted (100%<br>compliance = 10 points)  |                  |
| College/University End Semester/Annual Examination work<br>as per duties allotted. (Invigilation – 10 points; Evaluation of<br>answer scripts – 5 points; Question Paper setting – 5 points).<br>(100% compliance = 20 points |                  |
| Indicators  | Maximum<br>Score |

# II. Co-curricular, Extension and Profession Related Activities and Participationin the Corporate Life of the Institution.

#### (i) Extension and Co-curricular Related Activities

| Maximum Aggregate Limit   | 20  |
|---|-----|
| etc. (5 points each)  |     |
| scientific temper; flood or, drought relief, small family norms   |     |
| secularism, democracy, socialism, humanism, peace,                |     |
| Community work such as values of National Integration,            | 10  |
| points, Institutional level :5 points)                            | 1.0 |
| Programmes, Campus publications (Departmental level:2             |     |
| Students and Staff Related Socio-Cultural and Sports              |     |
| NCC or any other similar activity (Each activity 10 points)       | 10  |
|   |     |
| with Extension Work and National Service Scheme (NSS),            |     |
| Positions held/Leadership role played in Organization linked      | 10  |
| placement activity (5 point each).                                |     |
| studies/educational tours, industry-implant training and          |     |
| Institutional Co-curricular activities for students such as field | 10  |

### (ii) Contribution to Corporate Life and Management of the Institution

| Contribution to Corporate life in Universities/Colleges<br>through meetings, popular lectures, subject related events,<br>articles in college magazine and University volumes (2 point<br>each) | 10 |
|---|----|
| Institutional Governance responsibilities like, Vice Principal,<br>Dean, Director, Warden, Bursar, School Chairperson, IQAC<br>Co-ordinator (10 points each)                                    | 10 |
| Participation in Committees concerned with anh aspect of<br>departmental or institutional management such as admission<br>committee, campus development, librry committee (5 point<br>each)     | 10 |
| Responsibility for, or participation in Committees for<br>Students Welfare, Counselling and Discipline (5 each)   | 10 |
| Organization of Conference/Training: International (10 points); National/Regional (5 points).   | 10 |
| Maximum Aggregate Limit   | 15 |

#### (iii) Professional Development Related Activities

| Indicators / Activities                                       | Maximum |
|---|---------|
| ,   | Score   |
| Membership in profession related Committees at State and      | 10      |
| National level  |         |
| a. At National level : 3 points each                          |         |
| b. At State level : 2 points each                             |         |
| Participation in subject associations, conferences, seminars  | 10      |
| without paper presentation (Each activity : 2 point)          |         |
| Participation in short term training courses less than one    | 10      |
| week duration in educational technology, curriculum           |         |
| development, professional development, Examination            |         |
| reforms, Institutional governance (Each activity : 5 points)  |         |
| Membership/participation in Bodies/Committees on              | 10      |
| Education and National Development (5 each)                   |         |
| Publication of articles in newspapers, magazines or other     | 10      |
| publications (not covered in category 3); radio talks etc. (1 |         |
| point each)   |         |
| Maximum Aggregate Limit                                       | 15      |

#### **Category: III. Research and Publications and Academic Contributions**

This is to be filled as per Appendix III Table 1, Category III of the UGC Regulations 2010. Wherever the research contribution is jointly made, the API Scores should be shared between the contributors as per the formula provided in the Table 1.

### III. Summary of API Scores

The summary must take into account the maximum score limits for each set of indicators as given in Appendix III, Table 1

IV. Similar PBAS Proforma could be developed by the Universities for the Cadres of Librarian/Deputy Librarian/Assistant Librarian and Director of Physical Education & Sports/Deputy Director of Physical education & Sports/assistant Director of Physical Education & Sports based on the API Scoring pattern outlined in Appendix III : Tables – IV to IX of the UGC-Regulations, 2010.

# UNIVERSITY OF KERALA

# **PBAS Proforma for Promotion under CAS**

# PART A: GENERAL INFORMATION AND ACADEMIC BACKGROUND

| 1  | Name (In Block Letters   | : |
|----|--|---|
| 2  | Father's Name/Mother's Name/                                   | : |
| 3  | Department   | : |
| 4  | Current Designation & Grade Pay                                | : |
| 5  | Date of last Promotion   | : |
| 6  | Which position and grade pay are<br>you an applicant under CAS | : |
| 7  | Date of Eligibility for promotion                              | : |
| 8  | Date and Place of Birth  | : |
| 9  | Sex  | : |
| 10 | Marital status   | : |
| 11 | Nationality  | : |
| 12 | Indicate whether belongs to SC/ST/OBC category                 | : |
| 13 | Address for correspondence (with<br>Pincode)                   | : |
| 14 | Permanent Address (with Pincode)                               | : |
|    | Telephone No.  | : |
|    | Email  | : |

# 15. Academic Qualifications (Matric till post graduation):

| Examinations                  | Name of the<br>Board/<br>University | Year of<br>Passing | Percentage<br>of marks<br>obtained | Division/<br>Class/<br>Grade | Subject |
|-------------------------------|-------------------------------------|--------------------|------------------------------------|------------------------------|---------|
| High School/Matric            |                                     |                    |                                    |                              |         |
| Intermediate                  |                                     |                    |                                    |                              |         |
| B.A/B.Sc./B.Com/<br>B.Mus     |                                     |                    |                                    |                              |         |
| M.A/M.Sc/M.Com/<br>M.Mus      |                                     |                    |                                    |                              |         |
| Other examinations,<br>if any |                                     |                    |                                    |                              |         |

# 16. Research Degree(s)

| Degrees       | Title | Date of Award | University |
|---------------|-------|---------------|------------|
| M.Phil        |       |               |            |
| Ph.D./D.Phil  |       |               |            |
| D.Sc./D.Litt. |       |               |            |

# 17. Appointments held prior to joining this Institution

| Designation | Name of  |         |         | Salary with | Reason of |
|-------------|----------|---------|---------|-------------|-----------|
| Designation | Employer | Joining | Leaving | Grade       | leaving   |
|             |          |         |         |             |           |
|             |          |         |         |             |           |
|             |          |         |         |             |           |
|             |          |         |         |             |           |
|             |          |         |         |             |           |
|             |          |         |         |             |           |

| Designation | Demonstration | Date of actual joining |    | Grade |
|-------------|---------------|------------------------|----|-------|
|             | Department    | From                   | То | Grade |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |
|             |               |                        |    |       |

#### 18. Posts held after appointment at this Institution:

#### **19. Period of teaching experience: P.G.Classes (in years)**

U.G. Classes (in years)

### 20. Research Experience excluding years spent in M.Phil/Ph.D (in years)

# 21. Fields of Specialisation under the Subject/Discipline:

- (a)
- (b)

# 22. Academic Staff College Orientation/Refresher Course attended:

| Name of the Course/<br>Summer School | Place | Duration | Sponsoring Agency |
|--------------------------------------|-------|----------|-------------------|
|                                      |       |          |                   |
|                                      |       |          |                   |
|                                      |       |          |                   |

# **PART B : ACADEMIC PERFORMANCE INDICATORS**

(Please see detailed instruction of this PBAS proforma before filling out this section)

#### **CATEGORY: 1. TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES**

#### (i) Lectures, Seminars, Tutorials, Practicals, Contact Hours (give Semester-wise details, where necessary)

| S.No. | Course/Paper | Level | Mode of<br>teaching* | No. of<br>classes<br>per week<br>allotted | No. of<br>classes<br>conducted | % of Classes/<br>Practicals<br>taken as per<br>documented<br>record |
|-------|--------------|-------|----------------------|---|--------------------------------|---|
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |
|       |              |       |                      |   |                                |   |

\*Lecture (L), Seminar (S), Tutorial (T), Practical (P), Contact Hours (C)

|     |  | API Score       |
|-----|--|-----------------|
| (a) | Classes taken (max. 50 for 100% performance & proportionate score upto 80% performance, below which no score may be given) |                 |
| (b) | Teaching Load in excess of UGC norm (Max. : 10)  |                 |
|     |  | Max. Score : 60 |

# (ii) Reading/Instructional material consulted and additional knowledge resources provided to students

| S.No. | Course/Paper                                      | Consulted | Prescribed      | Additional<br>Resource<br>provided |
|-------|---|-----------|-----------------|------------------------------------|
|       |   |           |                 |                                    |
|       |   |           |                 |                                    |
|       |   |           |                 |                                    |
|       |   |           |                 |                                    |
|       | ore based on Preparati<br>ction as per curriculum | API Score |                 |                                    |
|       | onal resources to Stude                           |           | in by providing |                                    |
|       |   |           |                 | Max. Score : 20                    |

## (iii) Use of Participatory and Innovative Teaching-Learning Methodologies, Updating of Subject Content, Course improvement etc.

| S.No. | Short Description      | API Score |
|-------|------------------------|-----------|
|       |                        |           |
|       |                        |           |
|       | Total Score (Max.: 20) |           |

#### (iv) Examination Duties assigned and Performed

| S.No. | Type of<br>Examination Duties | Duties Assigned | Extent to which<br>carried out (%) | API Score |  |  |
|-------|-------------------------------|-----------------|------------------------------------|-----------|--|--|
|       |                               |                 |                                    |           |  |  |
|       |                               |                 |                                    |           |  |  |
|       |                               |                 |                                    |           |  |  |
|       |                               |                 |                                    |           |  |  |
|       | Total Score (Max. : 25)       |                 |                                    |           |  |  |

# CATEGORY: II. CO-CURRICULAR, EXTENSION, PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES

Please mention your contribution to any of the following :

| S.No. | Type of Activity  | Average Hrs./Week                               | API Score |
|-------|---|---|-----------|
|       | i. Extension, Co-curricular &                               |   |           |
|       | field based activities                                      |   |           |
|       |   |   |           |
|       |   |   |           |
|       |   | Total(Max. : 20)                                |           |
|       | ii. Contribution to Corporate Life<br>and Management of the | Yearly/Semester wise responsibilities           | API Score |
|       | Institution   | -   |           |
|       |   |   |           |
|       |   | Total (Max. : 15)                               |           |
|       | iii. Professional Development<br>Activities                 |   |           |
|       |   |   |           |
|       |   | Total (Mar. + 15)                               |           |
|       |   | <b>Total (Max : 15)</b><br>Total (i + ii + iii) |           |
|       |   | Minimum : 15, Maximum : 50)                     |           |

# CATEGORY: III. RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS

# A. Published Papers in Journals

| S.<br>No. | Title with page<br>Nos. | Journal | ISSN/<br>ISBN No. | Whether<br>peer<br>reviewed.<br>Impact<br>Factor, if<br>any | No. of<br>Co-<br>authors | Whether<br>you are the<br>main<br>author | API<br>Score |
|-----------|-------------------------|---------|-------------------|---|--------------------------|--|--------------|
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |
|           |                         |         |                   |   |                          |  |              |

# B. (i) Articles/Chapters published in Books

| S.<br>No. | Title with page<br>Nos. | Book<br>Title,<br>Editor &<br>Publisher | ISSN/<br>ISBN No. | Whether<br>peer<br>reviewed. | No. of<br>Co-<br>authors | Whether<br>you are the<br>main<br>author | API<br>Score |
|-----------|-------------------------|---|-------------------|------------------------------|--------------------------|--|--------------|
|           |                         |   |                   |                              |                          |  |              |
|           |                         |   |                   |                              |                          |  |              |
|           |                         |   |                   |                              |                          |  |              |
|           |                         |   |                   |                              |                          |  |              |
|           |                         |   |                   |                              |                          |  |              |

# (ii) Full Papers in Conference Proceedings

| S.<br>No. | Title with page<br>Nos. | Details of<br>Conference<br>Publication | ISSN/<br>ISBN No. | No. of<br>Co-<br>authors | Whether<br>you are the<br>main<br>author | API<br>Score |
|-----------|-------------------------|---|-------------------|--------------------------|--|--------------|
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |
|           |                         |   |                   |                          |  |              |

# (iii) Books Published as single Author or as Editor

| Title with page<br>Nos. | Type of<br>Book &<br>Author-<br>ship | Publisher<br>& ISSN/<br>ISBN No.       | Whether<br>peer<br>reviewed.   | No. of<br>Co-<br>authors   | Whether<br>you are the<br>main<br>author   | API<br>Score   |
|-------------------------|--------------------------------------|--|--|--|--|--|
|                         |                                      |  |  |  |  |  |
|                         |                                      |  |  |  |  |  |
|                         |                                      |  |  |  |  |  |
|                         |                                      |  |  |  |  |  |
|                         |                                      |  |  |  |  |  |
|                         |                                      |  |  |  |  |  |
|                         |                                      | Title with page Book &<br>Nos. Author- | Title with pageBook &<br>& ISSN/<br>Nos.I ublisher<br>& ISSN/<br>USBN No | Title with page     Book &     Tublisher     whether       Nos.     Author-     ISBN No     reviewed | Title with page<br>Nos.     Book &<br>Author-     I ubisition<br>& ISSN/<br>ISSN/<br>ISBN No     whether<br>peer     No: of<br>Co- | Title with page<br>Nos.Book &<br>Author-I ubisition<br>with the internetNot of<br>the internetyou are the<br>mainNos.Author-ISBN No<br>ISBN No<br>reviewedreviewed<br>outhorsouthors |

# III. C. Ongoing and Completed Research Projects and Consultancies

# (c) (i & ii) Ongoing Projects/Consultancies

| S.No. | Title | Agency | Period | Grant/<br>Amount<br>Mobilized<br>(Rs.Lakh) | API<br>Score |
|-------|-------|--------|--------|--|--------------|
|       |       |        |        |  |              |
|       |       |        |        |  |              |
|       |       |        |        |  |              |

# (c) (iii & iv) Completed Projects/Consultancies

| S.No. | Title | Agency | Period | Grant/<br>Amount<br>Mobilized<br>(Rs.Lakh) | Whether<br>policy<br>document/<br>patent as<br>outcome | API<br>Score |
|-------|-------|--------|--------|--|--|--------------|
|       |       |        |        |  |  |              |
|       |       |        |        |  |  |              |
|       |       |        |        |  |  |              |

#### D. Research Guidance

| S.No.                      | Number Enrolled | Thesis Submitted | Degree<br>awarded | API Score |
|----------------------------|-----------------|------------------|-------------------|-----------|
| i. M.Phil or<br>equivalent |                 |                  |                   |           |
| ii. Ph.D or<br>equivalent  |                 |                  |                   |           |

#### E. (i) Training Courses, Teaching-Learning-Evaluation Technology Programmes, faculty development Programmes (not less than one week duration)

| S.No. | Programme | Duration | Organised by | API Score |
|-------|-----------|----------|--------------|-----------|
|       |           |          |              |           |
|       |           |          |              |           |
|       |           |          |              |           |

#### E. (ii) Papers presented in Conferences, Seminars, Workshops, Symposia

| S.No | Title of the<br>paper presented | Title of<br>Conference/<br>Seminar | Organized<br>by | Whether<br>International/<br>National/State/<br>Regional/College<br>or University<br>level | API<br>Score |
|------|---------------------------------|------------------------------------|-----------------|--|--------------|
|      |                                 |                                    |                 |  |              |
|      |                                 |                                    |                 |  |              |
|      |                                 |                                    |                 |  |              |

# E. (iii) Invited Lectures and Chairmanships at National or International Conference/Seminar etc.

| S.No | Title of the<br>Lecture/<br>Academic<br>Session | Title of<br>Conference/<br>Seminar | Organized<br>by | Whether<br>International/<br>National | API<br>Score |
|------|---|------------------------------------|-----------------|---------------------------------------|--------------|
|      |   |                                    |                 |                                       |              |
|      |   |                                    |                 |                                       |              |
|      |   |                                    |                 |                                       |              |

#### **IV. SUMMARY OF API SCORES**

|     | Criteria                             | Last<br>Academic<br>Year | Total-API<br>Score for<br>Assessment<br>Period | Annual Av. API<br>Score for<br>Assessment<br>Period |
|-----|--------------------------------------|--------------------------|--|---|
| т   | Teaching, Learning<br>and evaluation |                          |  |   |
| -   | related activities                   |                          |  |   |
|     | Co-curricular,                       |                          |  |   |
| II  | Extension,<br>Professional           |                          |  |   |
|     | development etc.                     |                          |  |   |
|     | Total I + II                         |                          |  |   |
|     | Research and                         |                          |  |   |
| III | Academic                             |                          |  |   |
|     | Contribution                         |                          |  |   |

## PART C : OTHER RELEVANT INFORMATION

Please give details of any other credential, significant contributions, awards received etc., not mentioned earlier.

| S.No. | Details (Mention Year, value etc. where relevant |  |  |
|-------|--|--|--|
|       |  |  |  |
|       |  |  |  |
|       |  |  |  |
|       |  |  |  |

#### LIST OF ENCLOSURES : (Please attach, copies of certificates, sanction orders, papers etc., wherever necessary)

| 1. | 6.  |  |
|----|-----|--|
| 2. | 7.  |  |
| 3. | 8.  |  |
| 4. | 9.  |  |
| 5. | 10. |  |

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with the duly filled PBAS Proforma.

Signature of the Faculty with Designation, Place & Date

Signature of HOD/ School Chairperson/ Principal

NB : The individual PBAS proforma duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the University/College as necessary and placed before the Screening cum Evaluation Committee or Selection Committee for assessment/verification.

# Instructions for Filling up Part B of the PBAS Proforma

Part B of the Proforma is based on Appendix III, Table 1 of the UGC Regulations 2010.

B(I) is based on API scoring for Category I of the Table. Detailed information for 2009-2010 or most recent assessment year is to be provided.

B (II) is based on category II of the Table. Detailed information for 2009-2010 or most recent assessment year is to be provided.

B (III) is based on Category III of the Table. Detailed information <u>for the entire</u> <u>assessment period</u> is to be provided.

The Proforma is to be filled as per these tables and self-assessment scores given. For each category, even though several avenue of activities and their API scores are given to provide choice opportunity to the teacher, maximum limit of scores that can be given or carried forward under each category/area is indicated in the Table-1 of the UGC Regulations.

The self-assessment scores are further to be based on the indicators/activities given below. Universities may modify the detailed indicators and related scores based on their experience and requirement without changing the score requirements assigned to categories and sub-categories in Appendix III, Table 1.

NB: The self-assessment scores are subject to verification by the University/College, and by the Screening cum Verification Committee or Selection Committee as the case may be.

# **CATEGORY: 1. Teaching and Evaluation Related Performance**

| (i) a. |
|--------|
|--------|

| _ • •  |          |
|--|----------|
| Lectures/Seminars/Practicals/Tutorials/ Contact classes      | Maximum  |
| taken should be based on verifiable records.                 | Score 50 |
| No score should be assigned if a teacher has taken less than |          |
| 80% of the assigned classes. Universities may give allowance |          |
| for periods of leave where alternative teaching arrangements |          |
| would ordinarily be made.                                    |          |
| Maximum score if there is 100% achievement.                  |          |

b.

| If teacher has taken classes exceeding UGC norm, then two | Maximum  |
|---|----------|
| point to be assigned for each extra hour of classes       | Score 10 |

(ii)

| Imparting of knowledge/instruction as per curriculum with | Maximum  |
|---|----------|
| the prescribed material (Text book/Manual etc.), syllabus | Score 20 |
| enrichment by providing additional resources to students  |          |
| (100% compliance = 20 points)                             |          |

#### (iii) Use of Participatory and Innovative Teaching-Learning Methodologies, Updating of Subject Content, Course Improvement etc.

| Indicators/Activities   | Maximum  |  |  |
|---|----------|--|--|
| , ,   | Score 20 |  |  |
| Updating of courses, design of curriculum (5-single course)       | 10       |  |  |
| Participatory & Innovative T/L Process with materials for problem |          |  |  |
| based learning, case studies, Group discussions etc.              |          |  |  |
| a. Interactive Courses : 5 points/each                            | 10       |  |  |
| b. Participatory Learning modules : 5 points/each                 |          |  |  |
| c. Case studies : 5 points/each                                   |          |  |  |
| Use of ICT in T/L process with computer-aided methods like        |          |  |  |
| power point/Multimedia/Simulation/Softwares etc.                  | 10       |  |  |
| (Use of any one of these in addition to Chalk & Board : 5 points) |          |  |  |
| Developing and imparting Remedial/Bridge Courses                  | 10       |  |  |
| (Each activity : 5 points)  | 10       |  |  |
| Developing and imparting soft skills/communication skills/        |          |  |  |
| personality development courses/modules                           | 10       |  |  |
| (Each activity : 5 points)  |          |  |  |
| Developing and imparting specialized teaching-learning            |          |  |  |
| programmes in physical education, library; innovative             | 10       |  |  |
| compositions and creations in music, performing and visual arts   | 10       |  |  |
| and other traditional areas (Each activity : 5 points)            |          |  |  |
| Organizing and conduction of popularization                       |          |  |  |
| programmes/training courses in computer assisted teaching/        |          |  |  |
| web-based learning and e-library skills to students               |          |  |  |
| a. Workshop/Training course : 10 points each                      | 10       |  |  |
| b. Popularization Program : 5 points each                         |          |  |  |
|   |          |  |  |
| Maximum Aggregate Limit   | 20       |  |  |

#### (iv) Examination Related Work

| Indicators   | Maximum |
|--|---------|
|  | Score   |
| College/University End Semester/Annual Examination work as<br>per duties allotted. (Invigilation – 10 points; Evaluation of answer<br>scripts – 5 points; Question Paper setting – 5 points).<br>(100% compliance = 20 points) | 20      |
| College/University examination/Evaluation responsibilities for<br>internal/continuous assessment work as allotted.<br>(100% compliance = 10 points)  | 10      |
| Examination work such as co-ordination, or flying squad duties<br>etc. (maximum of 5 or10 depending upon Intensity of duty)<br>(100% compliance = 10 points)   | 10      |
| Maximum Aggregate Limit B (iv)   | 25      |

### CATEGORY: II. Co-curricular, Extension and Profession Related Activities

#### (i) Extension and Co-curricular & field based Activities

|                              | activities for students such as field<br>industry-implant training and | 10 |
|------------------------------|--|----|
| placement activity           | (5 point each).  |    |
| Positions held/Leadership ro | le played in Organization linked with                                  |    |
| Extension Work and Nationa   | 1 Service Scheme (NSS), NCC, NSO or                                    | 10 |
| any other similar activity   | (Each activity 10 points)  |    |

| Students and Staff Related Socio-Cultural and Sports  |    |
|---|----|
| Programmes, Campus publications   | 10 |
| (Departmental level:2 points, Institutional level :5 points)  |    |
| Community work such as values of National Integration,<br>secularism, democracy, socialism, humanism, peace, scientific<br>temper; flood or, drought relief, small family norms etc.<br>(5 points each) | 10 |
| Maximum Aggregate Limit   | 20 |

#### (ii) Contribution to Corporate Life and Management of the Institution

| Welfare, Counselling and Discipline (5 each)<br>Organization of Conference/Training as Chairman/Organizational<br>Secretary/Treasurer:   | 10 |
|--|----|
| Participation in Committees concerned with any aspect of<br>departmental or institutional management such as admission<br>committee, campus development, library committee (5 point each)<br>Responsibility for, or participation in Committees for Students | 10 |
| Institutional Governance responsibilities like, Vice Principal,<br>Dean, Director, Warden, Bursar, School Chairperson, IQAC Co-<br>ordinator (10 points each)  | 10 |
| Contribution to Corporate life in Universities/Colleges through<br>meetings, popular lectures, subject related events, articles in<br>college magazine and University volumes (2 points each)  | 10 |

#### (iii) Professional Development Related Activities

| (   | 1       |
|---|---------|
| Indicators / Activities   | Maximum |
|   | Score   |
| Membership in profession related Committees at State and          | 10      |
| National level  |         |
| a. At National level : 3 points each                              |         |
| b. At State level : 2 points each                                 |         |
| Participation in subject associations, conferences, seminars      | 10      |
| without paper presentation (Each activity : 2 points)             |         |
| Participation in short term training courses less than one week   | 10      |
| duration in educational technology, curriculum development,       |         |
| professional development, Examination reforms, Institutional      |         |
| governance (Each activity : 5 points)                             |         |
| Membership/participation in State/Central Bodies/Committees       | 10      |
| on Education, Research and National Development (5 each)          |         |
| Publication of articles in newspapers, magazines or other         | 10      |
| publications (not covered in category 3); radio talks; television |         |
| programmes (1 point each)   |         |
| Maximum Aggregate Limit   | 15      |

#### **Category: III. Research and Publications and Academic Contributions**

This is to be filled as per Appendix III Table 1, Category III of the UGC Regulations 2010. Wherever the research contribution is jointly made, the API Scores should be shared between the contributors as per the formula provided in the Table 1.

#### III. Summary of API Scores

As stated in the UGC regulations 2010, the API scoring will be progressively rolled out for categories I and II, beginning with assessment of one year for selection committees in 2010-2011, annual averages of two years in 2011-2012 and so on. But for Category III, scores will be computed for the entire assessment period as already indicated in the Regulations.

IV. Similar PBAS Proforma could be developed by the Universities for the Cadres of Librarian/Deputy Librarian/Assistant Librarian and Director of Physical Education & Sports/Deputy Director of Physical Education & Sports/Assistant Director of Physical Education & Sports based on the API Scoring pattern outlined in Appendix III : Tables – IV to IX of the UGC-Regulations, 2010.